

APPOINTMENT OF FINANCIAL ADVISER

Utmost Wealth Solutions is the brand name used by a number of Utmost companies. This item has been issued by Utmost Limited.

IMPORTANT NOTES

HOW TO COMPLETE THIS FORM

Please complete this form using **black ink** and **BLOCK CAPITALS**. If you make a mistake, cross it out, put in the correct words and sign your initials next to the correction. **Please do not use correction fluid.**

Please ensure that all sections are fully completed. Please note that in this form words in the singular shall include the plural and vice versa.

SIGNATURE This symbol highlights the signature sections within this form which must be signed.

If you have any queries please contact your financial adviser or our Customer Support team on **+44 (0)1624 643 345**.

INVESTMENT ADVISERS (AND/OR) EXTERNAL MANAGER AND/OR CUSTODIAN (EMC)

If you wish to nominate a new investment adviser and/or EMC on your bond, please complete a **Nomination of investment adviser** form or a **Nomination of external manager and/or custodian (EMC)** form, which are available on our website www.utmostwealth.com or from your financial adviser on request.

ADVISER CHARGING

If you want to pay adviser charges to your financial adviser, please also make sure you complete and sign the **Adviser charges pack** which you can find on our website www.utmostwealth.com or obtain from your financial adviser on request.

For detailed information about adviser charges and how these may be applicable to you please see the **Charges guide** available on our website www.utmostwealth.com or from your financial adviser on request.

CHECKLIST

We want to process the appointment as quickly as possible. To help us do this please remember:

- › If you are sending any additional information or documentation, please attach it securely to the back of the form.
- › Please ensure that the form has been signed by all policyholders, trustees or authorised signatories, as applicable.
- › If you want to pay adviser charges to your financial adviser that you have completed a separate **Adviser charges pack**.
- › If you want to nominate an investment adviser and/or EMC please ensure you have completed a **Nomination of investment adviser** form and/or a **Nomination of external manager and/or custodian (EMC)**.

Finally, please send the completed form to Utmost Limited, Royalty House, Walpole Avenue, Douglas, Isle of Man, IM1 2SL, British Isles.

A WEALTH *of* DIFFERENCE

Utmost Wealth Solutions and Utmost Trustee Solutions are brand names used by a number of Utmost companies. This item has been issued by Utmost Limited.

The following companies are registered in the Isle of Man. Utmost Limited (No 056473C), Utmost Administration Limited (No 109218C) and Utmost Trustee Solutions Limited (No 106739C) are regulated or licensed by the Isle of Man Financial Services Authority. Not regulated: Utmost Services Limited (No 059248C). Each has its registered office at: Royalty House, Walpole Avenue, Douglas, Isle of Man, IM1 2SL, British Isles.

The following company is registered in Ireland. Utmost Ireland dac (No 303257) is authorised by the Central Bank of Ireland and regulated by the Financial Conduct Authority in the UK for Conduct of Business Rules. Registered office: Block 2, Harcourt Centre, Harcourt Street, Dublin 2, Ireland.

A BOND DETAILS

1	Bond number	<input style="width: 98%; height: 20px;" type="text"/>
2	Bond name	<input style="width: 98%; height: 20px;" type="text"/>
3	Correspondence address of the policyholder/Trust	<input style="width: 98%; height: 20px;" type="text"/> <input style="width: 98%; height: 20px;" type="text"/> <input style="width: 98%; height: 20px;" type="text"/>
	Postcode	<input style="width: 20px; height: 20px;" type="text"/> <input style="width: 20px; height: 20px;" type="text"/> <input style="width: 20px; height: 20px;" type="text"/> <input style="width: 20px; height: 20px;" type="text"/> <input style="width: 20px; height: 20px;" type="text"/> - <input style="width: 20px; height: 20px;" type="text"/> <input style="width: 20px; height: 20px;" type="text"/> <input style="width: 20px; height: 20px;" type="text"/> <input style="width: 20px; height: 20px;" type="text"/>

B APPOINTMENT

1	Name of firm to be appointed (the financial adviser)	<input style="width: 98%; height: 20px;" type="text"/> <input style="width: 98%; height: 20px;" type="text"/>
2	Name of contact person	<input style="width: 98%; height: 20px;" type="text"/>
3	Address of financial adviser	<input style="width: 98%; height: 20px;" type="text"/> <input style="width: 98%; height: 20px;" type="text"/> <input style="width: 98%; height: 20px;" type="text"/>
	Postcode	<input style="width: 20px; height: 20px;" type="text"/> <input style="width: 20px; height: 20px;" type="text"/> <input style="width: 20px; height: 20px;" type="text"/> <input style="width: 20px; height: 20px;" type="text"/> <input style="width: 20px; height: 20px;" type="text"/> - <input style="width: 20px; height: 20px;" type="text"/> <input style="width: 20px; height: 20px;" type="text"/> <input style="width: 20px; height: 20px;" type="text"/> <input style="width: 20px; height: 20px;" type="text"/>
4	Terms of Business Number (if known)	<input style="width: 98%; height: 20px;" type="text"/>

C EXISTING COMMISSION

BONDS WHICH PAY COMMISSION

If your bond was issued before adviser charging applied (normally before 31 December 2012) this section may be relevant to you.

By requesting the appointment of a new financial adviser, any ongoing commission arrangements on your bond will normally stop. However, by ticking the box below, you may elect to re-register the current ongoing commission to your new financial adviser to pay, or part pay, for any ongoing adviser charges. Your new adviser will need to provide you with an ongoing service for re-registration to apply, and you will need to agree this with them before completing this section. There may be tax benefits to you if you re-register the commission. For more information please contact your new financial adviser who will be able to assist you.

I have agreed with the firm set out in Section B to re-register any ongoing commission payments to them

D DECLARATION

I hereby appoint the firm in Section B as my financial adviser (the Adviser), subject to the terms of my bond. I understand that:

1. this appointment may be terminated by me, the Adviser or Utmost Limited (the Company) at any time by giving prior written notice to all other parties, and
2. the Company will pass details concerning my bond to the Adviser unless the Company is informed that the Adviser is no longer acting for me.

The Company will not pass your personal information to any other party claiming to act for you unless you give us authority in writing to do so.

	Policyholder/Trustee 1	Policyholder/Trustee 2																	
SIGNATURE			SIGNATURE																
Print full name																			
Date	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 12.5%; text-align: center;">d</td> <td style="width: 12.5%; text-align: center;">d</td> <td style="width: 12.5%; text-align: center;">m</td> <td style="width: 12.5%; text-align: center;">m</td> <td style="width: 12.5%; text-align: center;">y</td> <td style="width: 12.5%; text-align: center;">y</td> <td style="width: 12.5%; text-align: center;">y</td> <td style="width: 12.5%; text-align: center;">y</td> </tr> </table>	d	d	m	m	y	y	y	y	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 12.5%; text-align: center;">d</td> <td style="width: 12.5%; text-align: center;">d</td> <td style="width: 12.5%; text-align: center;">m</td> <td style="width: 12.5%; text-align: center;">m</td> <td style="width: 12.5%; text-align: center;">y</td> <td style="width: 12.5%; text-align: center;">y</td> <td style="width: 12.5%; text-align: center;">y</td> <td style="width: 12.5%; text-align: center;">y</td> </tr> </table>	d	d	m	m	y	y	y	y	
d	d	m	m	y	y	y	y												
d	d	m	m	y	y	y	y												

	Policyholder/Trustee 3	Policyholder/Trustee 4																	
SIGNATURE			SIGNATURE																
Print full name																			
Date	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 12.5%; text-align: center;">d</td> <td style="width: 12.5%; text-align: center;">d</td> <td style="width: 12.5%; text-align: center;">m</td> <td style="width: 12.5%; text-align: center;">m</td> <td style="width: 12.5%; text-align: center;">y</td> <td style="width: 12.5%; text-align: center;">y</td> <td style="width: 12.5%; text-align: center;">y</td> <td style="width: 12.5%; text-align: center;">y</td> </tr> </table>	d	d	m	m	y	y	y	y	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 12.5%; text-align: center;">d</td> <td style="width: 12.5%; text-align: center;">d</td> <td style="width: 12.5%; text-align: center;">m</td> <td style="width: 12.5%; text-align: center;">m</td> <td style="width: 12.5%; text-align: center;">y</td> <td style="width: 12.5%; text-align: center;">y</td> <td style="width: 12.5%; text-align: center;">y</td> <td style="width: 12.5%; text-align: center;">y</td> </tr> </table>	d	d	m	m	y	y	y	y	
d	d	m	m	y	y	y	y												
d	d	m	m	y	y	y	y												

	Policyholder/Trustee 5	Policyholder/Trustee 6																	
SIGNATURE			SIGNATURE																
Print full name																			
Date	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 12.5%; text-align: center;">d</td> <td style="width: 12.5%; text-align: center;">d</td> <td style="width: 12.5%; text-align: center;">m</td> <td style="width: 12.5%; text-align: center;">m</td> <td style="width: 12.5%; text-align: center;">y</td> <td style="width: 12.5%; text-align: center;">y</td> <td style="width: 12.5%; text-align: center;">y</td> <td style="width: 12.5%; text-align: center;">y</td> </tr> </table>	d	d	m	m	y	y	y	y	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 12.5%; text-align: center;">d</td> <td style="width: 12.5%; text-align: center;">d</td> <td style="width: 12.5%; text-align: center;">m</td> <td style="width: 12.5%; text-align: center;">m</td> <td style="width: 12.5%; text-align: center;">y</td> <td style="width: 12.5%; text-align: center;">y</td> <td style="width: 12.5%; text-align: center;">y</td> <td style="width: 12.5%; text-align: center;">y</td> </tr> </table>	d	d	m	m	y	y	y	y	
d	d	m	m	y	y	y	y												
d	d	m	m	y	y	y	y												